

10-30-00

PTO/SB/05 (08-00)

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Applicable for use through 10/31/2002. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1.  Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original and a duplicate for fee processing)
2.  Applicant claims small entity status.  
See 37 CFR 1.27.
3.  Specification [Total Pages 19]  
(preferred arrangement set forth below)
  - Descriptive title of the invention
  - Cross Reference to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to sequence listing, a table, or a computer program listing appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
4.  Drawing(s) (35 U.S.C. 113) [ Total Sheets 2 ]
5. Oath or Declaration [ Total Pages 2 ]
  - a.  Newly executed (original or copy)
  - b.  Copy from a prior application (37 CFR 1.63 (d))  
(for continuation/divisional with Box 17 completed)
    - i.  **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6.  Application Data Sheet. See 37 CFR 1.76

17. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

 Continuation    Divisional    Continuation-in-part (CIP)
of prior application No.: 09 / 316,226

Prior application information:

Examiner Noggle, W.Group / Art Unit: 3762

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion of the disclosure is conveniently omitted from the submitted application parts.

## 18. CORRESPONDENCE ADDRESS

 Customer Number or Bar Code Label 23579 or  Correspondence address below

PATENT &amp; TRADEMARK OFFICE

Name	Patrea L. Pabst Arnall Golden & Gregory, LLP		
Address	2800 One Atlantic Center 1201 West Peachtree Street		
City	Atlanta	State	GA
Country	United States	Telephone	(404) 873-8794
Fax	(404)873-8795		

Name (Print/Type) Patrea L. Pabst Registration No. (Attorney/Agent) 31,284Signature JL Date 10/14/00

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

LEN 101 CIP CON 11653/7

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# FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$436.00)

## Complete if Known

Application Number	Continuation of 09/316,226
Filing Date	October 26, 2000
First Named Inventor	M. Riqdon Lentz
Examiner Name	
Group Art Unit	
Attorney Docket No.	LEN 101 CIP CON

## METHOD OF PAYMENT (check one)

1.  The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number **01-2507**  
Deposit Account Name **Arnall Golden & Gregory**

Charge Any Additional Fee Required  
Under 37 CFR 1.16 and 1.17

Applicant claims small entity status.  
See 37 CFR 1.27

2.  Payment Enclosed:

Check  Credit card  Money Order  Other

## FEE CALCULATION

## 1. BASIC FILING FEE

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid	
101	710	201	355 Utility filing fee	<b>355.00</b>
106	320	206	160 Design filing fee	
107	490	207	245 Plant filing fee	
108	710	208	355 Reissue filing fee	
114	150	214	75 Provisional filing fee	

SUBTOTAL (1) (\$ 355.00)

## 2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
29	- 20 = 9	x 9.00	<b>= 81.00</b>
Independent Claims	3	- 3 = 0	x 40.00 = 0
Multiple Dependent			

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	
103	18	203	9 Claims in excess of 20
102	80	202	40 Independent claims in excess of 3
104	270	204	135 Multiple dependent claim, if not paid
109	80	209	40 ** Reissue independent claims over original patent
110	18	210	9 ** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$ 81.00)

## 3. ADDITIONAL FEES

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid	
105	130	205	65 Surcharge - late filing fee or oath	
127	50	227	25 Surcharge - late provisional filing fee or cover sheet	
139	130	139	130 Non-English specification	
147	2,520	147	2,520 For filing a request for <i>ex parte</i> reexamination	
112	920*	112	920* Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840* Requesting publication of SIR after Examiner action	
115	110	215	55 Extension for reply within first month	
116	390	216	195 Extension for reply within second month	
117	890	217	445 Extension for reply within third month	
118	1,390	218	695 Extension for reply within fourth month	
128	1,890	228	945 Extension for reply within fifth month	
119	310	219	155 Notice of Appeal	
120	310	220	155 Filing a brief in support of an appeal	
121	270	221	135 Request for oral hearing	
138	1,510	138	1,510 Petition to institute a public use proceeding	
140	110	240	55 Petition to revive - unavoidable	
141	1,240	241	620 Petition to revive - unintentional	
142	1,240	242	620 Utility issue fee (or reissue)	
143	440	243	220 Design issue fee	
144	600	244	300 Plant issue fee	
122	130	122	130 Petitions to the Commissioner	
123	50	123	50 Petitions related to provisional applications	
126	240	126	240 Submission of Information Disclosure Stmt	
581	40	581	40 Recording each patent assignment per property (times number of properties)	
146	710	246	355 Filing a submission after final rejection (37 CFR § 1.129(a))	
149	710	249	355 For each additional invention to be examined (37 CFR § 1.129(b))	
179	710	279	355 Request for Continued Examination (RCE)	
169	900	169	900 Request for expedited examination of a design application	

Other fee (specify) \_\_\_\_\_

\* Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$)

## SUBMITTED BY

Complete (if applicable)

Name (Print/Type)	Patrea L. Pabst	Registration No. (Attorney/Agent)	31,284	Telephone	(404) 873-8794
Signature	<i>PL</i>			Date	10/14/00

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: M. Rigdon Lentz

Serial No.: Continuation of 09/316,226 Express Mail Label No.: EL 690 662 721 US  
Date of Deposit: October 26, 2000

Filed: October 26, 2000

For: *METHOD AND COMPOSITIONS FOR TREATMENT OF CANCERS*

BOX PATENT APPLICATION  
Assistant Commissioner for Patents  
Washington, D.C. 20231

**REQUEST FOR FILING A CONTINUATION APPLICATION  
UNDER 37 C.F.R. § 1.53(b)**

Sir:

This is a request for filing a continuation application under 37 C.F.R. § 1.53(b) of pending prior application Serial No. 09/316,226, filed May 21, 1999, by M. Rigdon Lentz, for "*Method and Compositions for Treatment of Cancers*", which is a continuation-in-part of U.S. Serial No. 09/083,307 filed May 22, 1998. The application includes 1 page of Abstract, 15 pages of specification, 3 pages of claims, two sheets of formal drawings, and a copy of the executed Declaration for Patent Application from prior application U.S.S.N. 09/316,226.

A Preliminary Amendment, and an Information Disclosure Statement are enclosed.

This application claims small entity status pursuant to the new requirements of 37 C.F.R. § 1.27 in the Federal Register, published and effective September 8, 2000. Applicant qualifies for small entity status under 37 C.F.R. § 1.27(b) for an independent inventor.

Continuation of U.S.S.N. 09/316,226  
"Method and Compositions for Treatment of Cancers"  
By: M. Rigdon Lentz  
Filed: October 26, 2000  
Request for Filing a Continuation Application Under 37 C.F.R. § 1.53(b)  
Express Mail Label No.: EL 690 662 721 US  
Date of Deposit: October 26, 2000

A check in the amount of \$436.00 to cover the small entity filing fee is enclosed. It is believed that this is the proper filing fee, as the application includes 3 independent claims and a total of 29 claims after entry of the enclosed Preliminary Amendment.

The inventorship for the claims in the continuation application is the same as in the prior application.

All correspondence concerning this application should be mailed to:

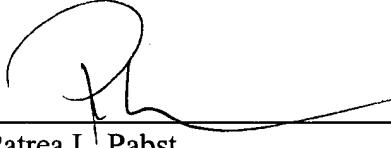
Patrea L. Pabst, Esq.  
ARNALL GOLDEN & GREGORY, LLP  
2800 One Atlantic Center  
1201 West Peachtree Street  
Atlanta, Georgia 30309-3450

This application is being filed on October 26, 2000, by mailing the application to BOX PATENT APPLICATION, Assistant Commissioner for Patents, Washington, D.C. 20231 via the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10. The Express Mail label number appears in the heading of this paper which is attached to the application papers pursuant to 37 C.F.R. § 1.10(b).

Continuation of U.S.S.N. 09/316,226  
"Method and Compositions for Treatment of Cancers"  
By: M. Rigdon Lentz  
Filed: October 26, 2000  
Request for Filing a Continuation Application Under 37 C.F.R. § 1.53(b)  
Express Mail Label No.: EL 690 662 721 US  
Date of Deposit: October 26, 2000

The Commissioner is hereby authorized to charge any fees that may be required, or credit any overpayment to Deposit Order Account No. 01-2507. To facilitate this process, applicants have enclosed a duplicate of this letter.

Respectfully submitted,



\_\_\_\_\_  
Patrea L. Pabst  
Reg. No. 31,284

Date: October 26, 2000

ARNALL GOLDEN & GREGORY, LLP  
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1201 West Peachtree Street  
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Continuation of U.S.S.N. 09/316,226  
"Method and Compositions for Treatment of Cancers"  
By: M. Rigdon Lentz  
Filed: October 26, 2000  
**Request for Filing a Continuation Application Under 37 C.F.R. § 1.53(b)**  
Express Mail Label No.: EL 690 662 721 US  
Date of Deposit: October 26, 2000

**CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.10**

I hereby certify that this Request for Filing a Continuation Application Under 37 C.F.R. § 1.53(b), and any documents referred to as attached therein are being deposited with the United States Postal Service on this date, October 26, 2000, in an envelope as "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10, mailing label number EL 690 662 721 US, addressed to Box Patent Application, Assistant Commissioner for Patents, Washington, D.C. 20231.

Date: October 26, 2000

Chandra Russell  
Chandra Russell